

Enhanced Safety Screening for High-Risk Motor Carriers



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Commercial Vehicle Enforcement



Kentucky Transportation Center

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- Located within the College of Engineering at the University of Kentucky
- Designated Research Arm of the Kentucky Transportation Cabinet
- An Integral Part of Kentucky's CVISN/ITD Team Since the Program's Inception (1996)
- What do we do?



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- Plan Regular Team Meetings and Annual Strategic Planning Meeting
- Documentation of Performance Measures
- Preparation of Grant Applications
- Investigation and Evaluation of Technologies/Systems
- Monitor Data Quality and Work to Resolve Issues
- Training related to Screening Systems
- Implementation and Support of Various Commercial Vehicle-Related Technologies



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Who are Our Partners?



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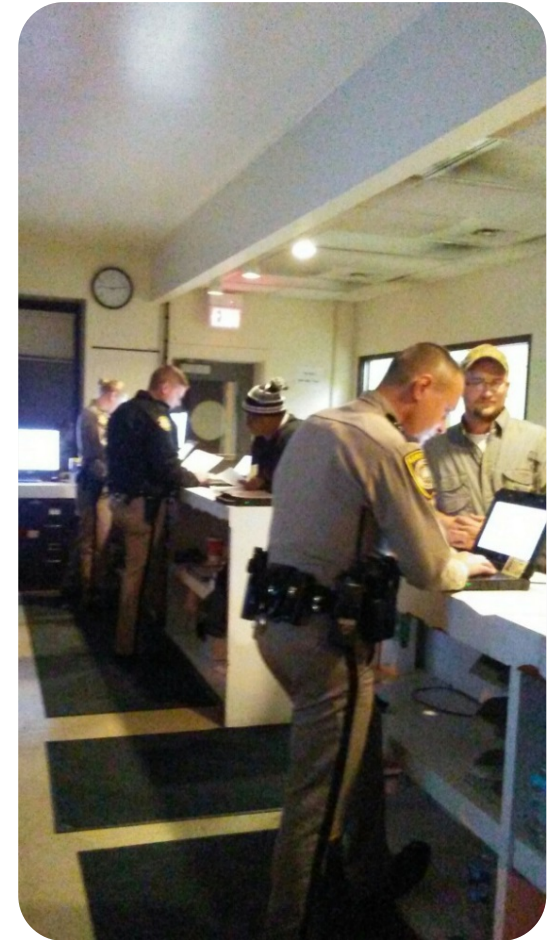


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Enhanced Safety Screening for High-Risk Motor Carriers

- Funded through the 2017 High Priority Grant (HP-ITD)
- Goal: to incorporate FMCSA's BASIC scores into screening systems so that carriers with potential safety problems are more easily identified for inspection
- Timeline: 9/15/2018 – 12/31/2019



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Enhanced Safety Screening for High-Risk Motor Carriers

- KTC's Role:
 - Facilitate the development of functional requirements with the Study Advisory Committee
 - Work with the programmer to implement the changes in CVIEW & KATS
 - Work with KSP-CVE to test these new features
 - Evaluate the benefits of the changes



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Kentucky's CVIEW

⋮ CVIEW

- Carrier
- Vehicle
- Observations

BASICS Date 2018-09-28

BASIC	Assessment	On-Road Performance	Deficient
Unsafe Driving		40.00	N - No deficiency
HOS Compliance	DEFICIENT	92.00	P - Yes deficiency due to performance
Driver Fitness			N - No deficiency
Drugs/Alcohol			N - No deficiency
Vehicle Maint.	DEFICIENT	85.00	P - Yes deficiency due to performance

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Kentucky Automated Truck Screening (KATS)

- Trucks are automatically identified using their license plate and USDOT number and then screened against Kentucky and national databases.
 - The goal is to be more efficient with available staffing and identify good candidates for inspection.
 - Improve safety and increase compliance.
 - Attempts to screen ALL vehicles.



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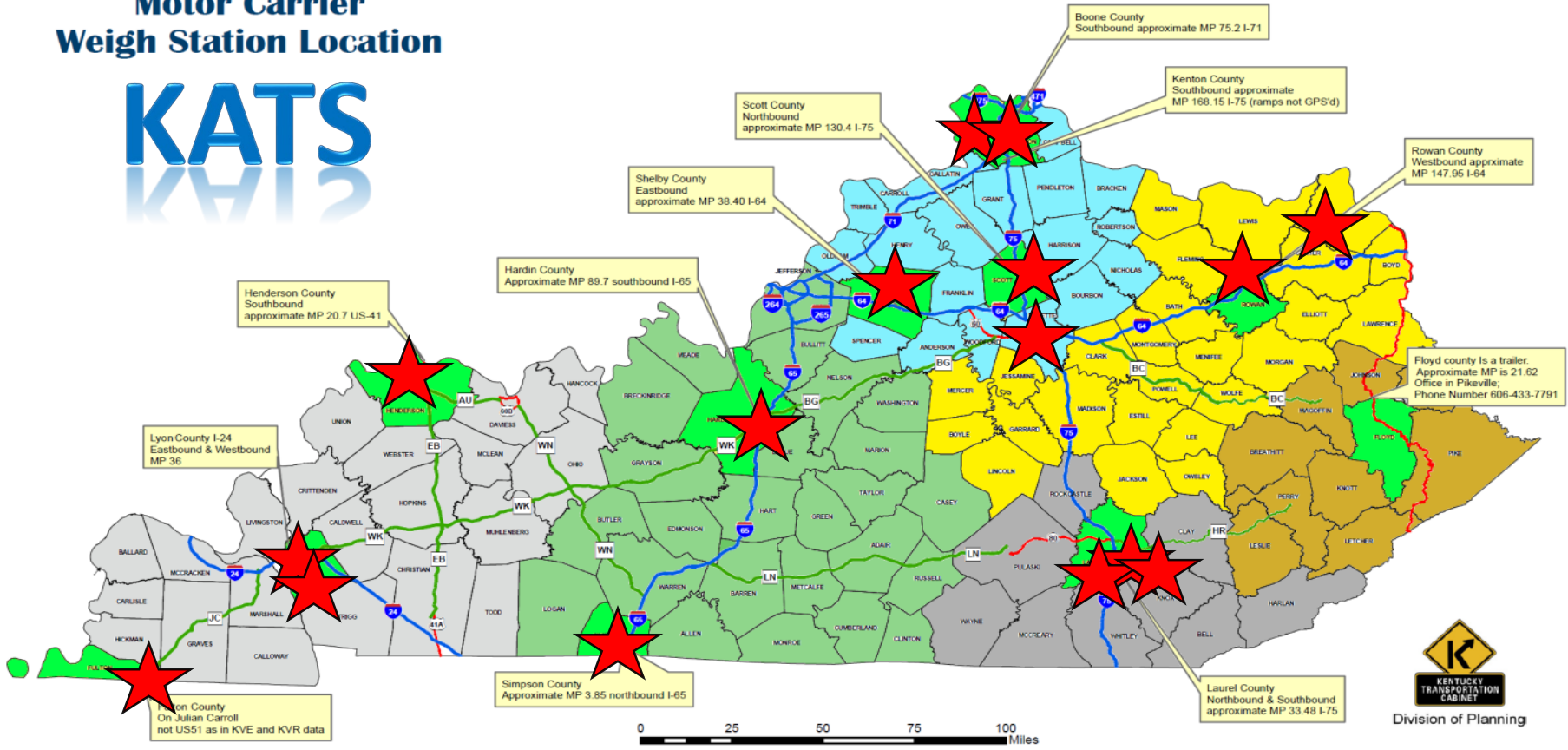
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Motor Carrier Weigh Station Location

KATS



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Screening Rules in KATS

Screening Level Adjustment

PULL-IN OVERRIDE: No Override Pull Over All Pull Over None

Pull NO vehicles in. The system will ignore the following rules.

LOW PRIORITY ALERT: Alarm Audio On Alarm Audio Off

Check	Description	Pull Over	High Priority
Credentials			
IFTA	Is there an active IFTA status, or has it been suspended or revoked?	<input checked="" type="radio"/> All <input type="radio"/> None <input type="radio"/> Percentage	<input checked="" type="checkbox"/> Warning Whistle ▼
KIT	Has the KIT status been suspended or revoked?	<input checked="" type="radio"/> All <input type="radio"/> None <input type="radio"/> Percentage	<input type="checkbox"/>
KY HIRE	Does the DOT number have a KY HIRE status of inactive?	<input type="radio"/> All <input checked="" type="radio"/> None <input type="radio"/> Percentage	<input type="checkbox"/>
KYU	Is there an active KYU status, or has it been suspended or revoked?	<input checked="" type="radio"/> All <input type="radio"/> None <input type="radio"/> Percentage	<input checked="" type="checkbox"/> Warning Whistle ▼
Liability Insurance	Does this DOT number have a record of liability insurance?	<input checked="" type="radio"/> All <input type="radio"/> None <input type="radio"/> Percentage	<input type="checkbox"/>
UCR	Does this DOT number have an unpaid UCR?	<input checked="" type="radio"/> All <input type="radio"/> None <input type="radio"/> Percentage	<input type="checkbox"/>
		<input type="button" value="All On"/> <input type="button" value="All Off"/>	
Misc			
No Data Found	Unable to read plate, DOT, or KYU	<input type="radio"/> All <input checked="" type="radio"/> None <input type="radio"/> Percentage	<input type="checkbox"/>
		<input type="button" value="All On"/> <input type="button" value="All Off"/>	
Registration			
Expired Registration	Does this License Plate have an expired registration?	<input type="radio"/> All <input checked="" type="radio"/> None <input type="radio"/> Percentage	<input type="checkbox"/>
Registered Weight	Is this truck over its registered weight?	<input type="radio"/> All <input checked="" type="radio"/> None <input type="radio"/> Percentage	<input type="checkbox"/>
		<input type="button" value="All On"/> <input type="button" value="All Off"/>	
Safety			
USDOT Status	Does this DOT number have an inactive status?	<input checked="" type="radio"/> All <input type="radio"/> None <input type="radio"/> Percentage	<input checked="" type="checkbox"/> Gong ▼
Driver OOS Percentage	Does this DOT number exceed the OOS Driver Percentage threshold?	<input checked="" type="radio"/> All <input type="radio"/> None <input type="radio"/> Percentage	<input checked="" type="checkbox"/> Alarm Beep ▼
Federal OOS	Does this DOT number have a MCSIP level which is Federal Out of Service?	<input checked="" type="radio"/> All <input type="radio"/> None <input type="radio"/> Percentage	<input checked="" type="checkbox"/> Gong ▼
Hazmat OOS Percentage	Does this DOT number exceed the OOS Hazmat Percentage threshold?	<input type="radio"/> All <input type="radio"/> None <input checked="" type="radio"/> Percentage 50	<input type="checkbox"/>
Vehicle OOS Percentage	Does this DOT number exceed the OOS Vehicle Percentage threshold?	<input checked="" type="radio"/> All <input type="radio"/> None <input type="radio"/> Percentage	<input checked="" type="checkbox"/> Air Raid ▼
		<input type="button" value="All On"/> <input type="button" value="All Off"/>	

Turn every rule ON

Turn every rule OFF

Save Screening Levels



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Screening Related to Safety

Safety

USDOT Status	Does this DOT number have an inactive status?
Driver OOS Percentage	Does this DOT number exceed the OOS Driver Percentage threshold?
Federal OOS	Does this DOT number have a MCSIP level which is Federal Out of Service?
Hazmat OOS Percentage	Does this DOT number exceed the OOS Hazmat Percentage threshold?
Vehicle OOS Percentage	Does this DOT number exceed the OOS Vehicle Percentage threshold?

Safety (BASICS)

Crash Indicator	User threshold: 90	Default threshold: <input type="checkbox"/>
Driver Fitness	User threshold: 90	Default threshold: <input type="checkbox"/>
Drugs/Alcohol	User threshold: 90	Default threshold: <input type="checkbox"/>
HM Compliance	User threshold: 90	Default threshold: <input type="checkbox"/>
HOS Compliance	User threshold: 90	Default threshold: <input type="checkbox"/>
Unsafe Driving	User threshold: 90	Default threshold: <input type="checkbox"/>
Vehicle Maintenance	User threshold: 90	Default threshold: <input type="checkbox"/>



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New Screening on BASICs

- Users may screen on default thresholds or user-established thresholds
- Default threshold checks for a BASIC alert on the carrier for an investigation or an on-road performance score that exceeds the default values
- User threshold checks for a BASIC score that is at or above the user-established threshold



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Data We Captured

Lic. Plate:

DOT:

KYU#:

Weight:

[Re-Screen / Verify](#) [Queue for inspection](#)

Overview

Inspection: 2142056 Date: 1:42:55 PM 10/26/18
 Status: Fail Last Updated: 1:47:01 PM 10/26/18

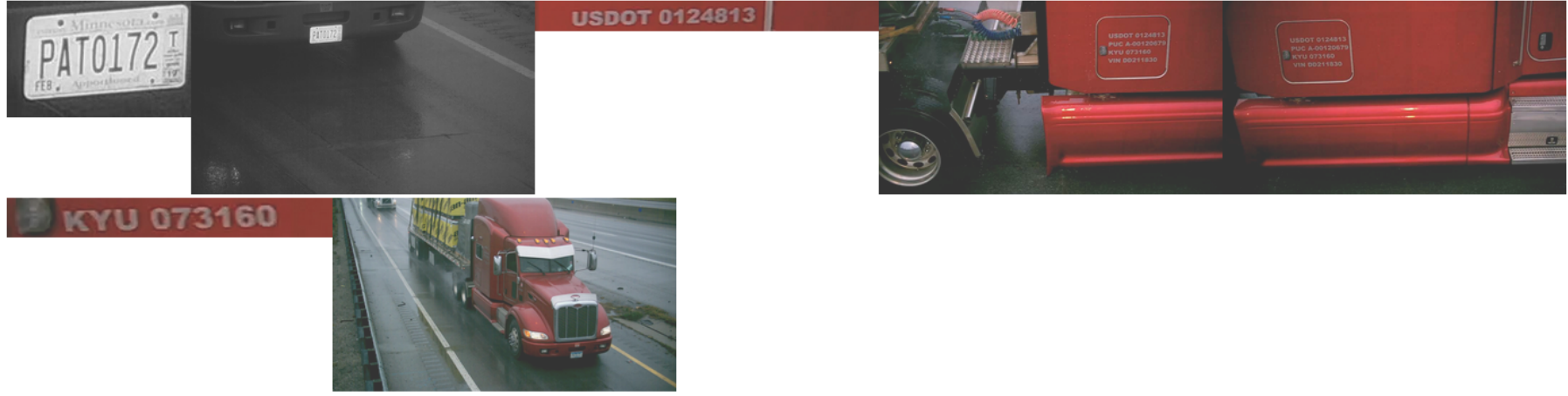
Data We Screened

KYUNUM: 073160
 Registrant DOT: 124813
 Safety DOT: 124813

Additional Data

Carrier Name: ADMIRAL MERCHANTS MOTOR FREIGHT INC
 DBA Name: ADMIRAL MERCHANTS
 ISS Score: 93

Images



Pass/Fail Results

- BASIC: Vehicle Maintenance DOT# 124813 has an on-road performance score that meets or exceeds the user threshold (90.00)
- Database Unable to find plate PAT0172/MN in CVIEW

[Show log](#)

FAIL for BASIC: Vehicle Maintenance



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BASIC Data in CVIEW

BASICS Date 2018-09-28

BASIC	Assessment	On-Road Performance	Deficient
Unsafe Driving		34.00	N - No deficiency
HOS Compliance	DEFICIENT	72.00	P - Yes deficiency due to performance
Driver Fitness			N - No deficiency
Drugs/Alcohol		3.00	N - No deficiency
Vehicle Maint.	DEFICIENT	91.00	P - Yes deficiency due to performance
HM Compliance			N - No deficiency
Crash Indicator		18.00	N - No deficiency



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Data We Captured

Lic. Plate: **P996566** **Illinois**

DOT: **2439316**

KYU#:

Weight:

[Re-Screen / Verify](#) [Queue for inspection](#)

Overview

Inspection: 2142113 Date: 1:59:58 PM 10/26/18
 Status: Fail Last Updated: 2:05:51 PM 10/26/18

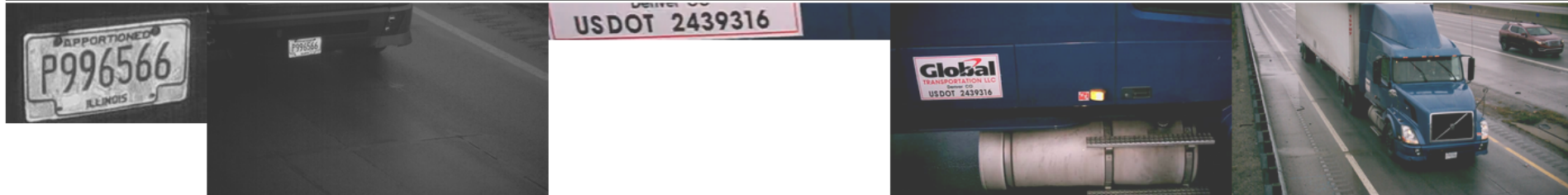
Data We Screened

KYUNUM:
 Lic. Plate: P996566/IL
 Registrant DOT: 2439316
 Safety DOT: 2439316

Additional Data

Carrier Name: GLOBAL TRANSPORTATION LLC
 DBA Name: 463673743
 ISS Score: 99

Images



Pass/Fail Results

- ✘ BASIC: HOS Compliance DOT# 2439316 has an on-road performance score that meets or exceeds the user threshold (90.00)
- ✘ BASIC: Unsafe Driving DOT# 2439316 has an on-road performance score that meets or exceeds the user threshold (90.00)
- ✘ BASIC: Vehicle Maintenance DOT# 2439316 has an on-road performance score that meets or exceeds the user threshold (90.00)

Show Log

FAIL for:
BASIC: HOS Compliance
BASIC: Unsafe Driving
BASIC: Vehicle Maintenance



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BASIC Data in CVIEW

BASICS Date 2018-09-28

BASIC	Assessment	On-Road Performance	Deficient
Unsafe Driving	DEFICIENT	99.00	<u>P - Yes deficiency due to performance</u>
HOS Compliance	DEFICIENT	91.00	<u>Y - Yes deficiency due to both investigation and bad performance</u>
Driver Fitness	DEFICIENT	40.00	<u>I - Yes deficiency due to investigation</u>
Drugs/Alcohol			<u>N - No deficiency</u>
Vehicle Maint.	DEFICIENT	92.00	<u>Y - Yes deficiency due to both investigation and bad performance</u>
Crash Indicator	DEFICIENT	85.00	<u>P - Yes deficiency due to performance</u>



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Data We Captured

Lic. Plate:

DOT:

KYU#:

Weight:

[Re-Screen / Verify](#) [Queue for inspection](#)

Overview

Inspection: 2142164 Date: 2:20:04 PM 10/26/18
 Status: Fail Last Updated: 2:20:30 PM 10/26/18

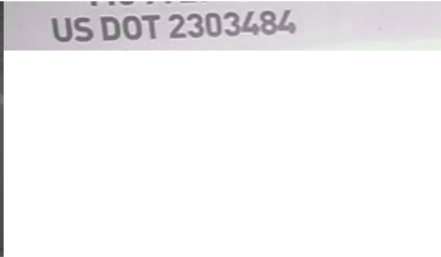
Data We Screened

KYUNUM:
 Lic. Plate: /
 Registrant DOT: 2303484
 Safety DOT: 2303484

Additional Data

Carrier Name: QUO VADIS LOGISTICS INC
 ISS Score: 96

Images



Pass/Fail Results

- BASIC: Driver Fitness DOT# 2303484 has an on-road performance score that meets or exceeds the user threshold (95.00)
- BASIC: HOS Compliance DOT# 2303484 has an alert in HOS COMPLIANCE due to a high on-road performance score (89.00)
- BASIC: Unsafe Driving DOT# 2303484 has an on-road performance score that meets or exceeds the user threshold (95.00)
- KYU Unable to find active KYU record

[Show Log](#)

FAIL for:
BASIC: Driver Fitness
BASIC: HOS Compliance
BASIC: Unsafe Driving



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BASIC Data in CVIEW

BASICS Date 2018-09-28

BASIC	Assessment	On-Road Performance	Deficient
Unsafe Driving	DEFICIENT	99.00	P - Yes deficiency due to performance
HOS Compliance	DEFICIENT	89.00	P - Yes deficiency due to performance
Driver Fitness	DEFICIENT	95.00	P - Yes deficiency due to performance
Drugs/Alcohol			N - No deficiency
Vehicle Maint.		39.00	N - No deficiency
Crash Indicator	DEFICIENT	66.00	P - Yes deficiency due to performance



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Next Steps

- Convene the Study Advisory Committee
- Fine Tune the Screening Requirements
 - Alerts for Investigation?
 - Should Number of Power Units be Considered?
 - Are all BASICs appropriate at all KATS Sites?
- Test and Refine as Necessary
- Document Results/Benefits



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